

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	09/340,595
Filing Date	June 28, 1999
First Named Inventor	Osvaldo Podhajcer
Examiner Name	Mary M. Schmidt
Group Art Unit	1635
Attorney Docket No.	1581.0300002/RWE/B-C

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☒ Other** ☐ None

☐ Deposit Account
Deposit Account Number 19-0036

Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.

The Commissioner is authorized to: (check all that apply)

- ☒ Charge fee(s) indicated below ☒ Credit any over payments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

** Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1105	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0-

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra	Fee from below	Fee Paid
Total Claims _____ - 20** = _____ X _____ = _____		
Indep. Claims _____ - 3** = _____ X _____ = _____		
Multiple Dependent _____ = _____		

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0-

**or number previously paid, if greater. For Reissue, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1502	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examination action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

Name (Print/Type)	Lawrence J. Carroll, Ph.D.	Registration No.	40,940	Telephone	202-371-2600
Signature		Date	February 3, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.12 and 1.27. The information is required to obtain or retain a benefit from the U.S. Patent and Trademark Office (USPTO) to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary, depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231

If you need assistance in completing the form, call 1-800-PTO-9199 or 1-800-786-5199 and select option 2

RECEIVED
FEB 06 2003
TECH CENTER 1600/1300

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB Control number.

United States Patent & Trademark Office

Credit Card Payment Form

Please Read Instructions before Completing this Form

Credit Card Information			
Credit Card Type:	<input type="checkbox"/> Visa	<input type="checkbox"/> MasterCard	<input checked="" type="checkbox"/> American Express <input type="checkbox"/> Discover
Credit Card Account # :	3787 327319 61001		
Credit Card Expiration Date:	06/2004		
Name as it Appears on Credit Card:	SKGF P.L.L.C.		
Payment Amount: \$(US Dollars):	180.00		
Signature: <i>Robert S. Simon</i>	Date: 2/3/2003		
<p>Refund Policy: The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR 1.26). Refund of a fee paid by credit card will be via credit to the credit card account.</p> <p>Service Charge: There is a 50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR 1.21(m)).</p>			
Credit Card Billing Address			
Street Address 1:	Sterne, Kessler, Goldstein & Fox P.L.L.C.		
Street Address 2:	1100 New York Avenue, N.W.		
City:	Washington		
State:	DC	Zip/Postal Code:	20005-3934
Country:	United States		
Daytime Phone # :	(202) 371-2600	Fax # :	(202) 371-2540
Request and Payment Information			
Description of Request and Payment Information:			
180.00- filing an Information Disclosure Statement			
<input type="checkbox"/> Patent Fee	<input type="checkbox"/> Patent Maintenance Fee	<input type="checkbox"/> Trademark Fee	<input type="checkbox"/> Other Fee
Application No.	Application No.	Serial No.	IDON Customer No.
09/340,595			
Patent No.	Patent No.	Registration No.	
Attorney Docket No.		Identify or Describe Mark	
1581.03000002/RWE/B-C			

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment form, the United States Patent & Trademark Office will not be liable in the event that the credit card number becomes public knowledge

RECEIVED
FEB 06 2003
TECH CENTER 1600/2900

Sterne Kessler
Goldstein Fox

February 3, 2003

WRITER'S DIRECT NUMBER:
(202) 218-7856

INTERNET ADDRESS:
BCARROLL@SKGF.COM

Via Handcarry to:
Examiner Mary M. Schmidt/Art Unit 1635

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Utility Patent Application
Appl. No. 09/340,595; Filed: June 28, 1999
For: **Compositions and Methods for Tumor Therapy**
Inventors: Podhajcer *et al.*
Our Ref: 1581.0300002/RWE/B-C

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal (PTO/SB/17);
2. Second Supplemental Information Disclosure Statement;
3. Two (2) pages of Form PTO-1449 citing two (2) references;
4. A copy of the two (2) cited references;
5. Form PTO-2038 Credit Card Payment Form for \$180.00 to cover the fee for filing an Information Disclosure Statement; and
6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

RECEIVED
FEB 06 2003
TECH CENTER 1600/2900

Commissioner for Patents
February 3, 2003
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Lawrence J. Carroll, Ph.D.
Agent for Applicants
Registration No. 40,940

RWE/B-C:law
Enclosures

ODMA MHODMA SKGE_DC1:98458,1
SKGE Rev. 2 15 02 dew



Fax

☐ Urgent ☐ Return reply requested ☐ Original will be sent as confirmation

To: U.S. Patent & Trademark Office

Date: February 3, 2003

Attention: Examiner Mary M. Schmidt

Re:

U.S. Utility Patent Application

Appl. No. 09/340,595; Filed: June 28, 1999

From: Robert W. Esmond *RW*

For: **Compositions and Methods for Tumor Therapy**

Inventors: Podhajcer *et al*

Pages (including cover sheet): 11

Fax No: 703-746-5264

Our Reference: 1581.0300002

Message

A copy of the hand-carried documents follows.

If any portion of this transmission is not received clearly or in full,
contact us at 202.371.2600 or f 202.371.2540.

This message is intended for the exclusive use of the individual or entity to which it is addressed. The message may contain information that is privileged, confidential, or otherwise exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this communication in any way is strictly prohibited. If you have received this communication in error, please call us collect immediately, and return the original message to us at the above address via the U.S. Postal Service.

Sterne, Kessler, Goldstein & Fox P.L.L.C. • 1100 New York Avenue, NW • Washington, DC 20005 • 202.371.2600 f 202.371.2540 www.skqf.com



Robert Greene Sterne
Edward J. Kassir
Jorge A. Goldstein
David S. Cornwell
Robert W. Edmond
Tracy-Gene G. Durkin
Michelle A. Cambala
Michael B. Ray
Robert E. Sokol
Eric K. Steffe
Michael Q. Lee
Steven R. Ludwig
John M. Covert
Linda E. Azzoni
Robert C. Milonig
Lawrence B. Bugalsky
Donald J. Featherstone
Michael V. Messinger
Judith U. Kim
Timothy J. Shea, Jr.

Patrick E. Garrett
Jeffrey F. Harvey
David L. Kraus
Crystal D. Sayles
Edward W. Yee
Albert L. Ferro
Donald R. Banowit
Peter A. Jachman
Molly A. McCall
Teresa U. Medler
Jeffrey S. Weaver
Kenneth P. Patterson
Vincent L. Capuano
Albert J. Fusco II
Eldore Edmon Floy
W. Russell Swindell
Thomas C. Flax
Brian J. Del Buono
Vigil Lee Beason
Reginald D. Lucas

Kimberly N. Reddick
Theodore A. Wood
Elizabeth J. Haines
Bruce E. Chesser
Joseph S. Ostroff
Frank R. Cottingham
Christine M. Juller
Rae Lynn Pringaman
Jane Shershenovitz
Lorenco J. Carroll
George S. Bardmesser

Senior Counsel
Samuel L. Fox
Kenneth C. Bissell

Registered Patent Agents
Karen R. Markowicz
Andrea J. Kanoge

Nancy J. Leff
Joseph M. Conrad III
Ann E. Summerfield
Helene C. Carlson
Gaby L. Longworth
Matthew J. Dowd
Aaron L. Schwartz
Angelique G. Uy
Boris A. Martynov
Mary B. Tung
Karin L. Pei
Brian L. Skelton
Jason D. Ebenberg

* Admitted only in Maryland
* Admitted only in Virginia
* Admitted only in Texas

February 3, 2003

WRITER'S DIRECT NUMBER:
(202) 218-7856

INTERNET ADDRESS:
BCARROLL@SKGF.COM

Via Handcarry to:
Examiner Mary M. Schmidt/Art Unit 1635

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Utility Patent Application
Appl. No. 09/340,595; Filed: June 28, 1999
For: **Compositions and Methods for Tumor Therapy**
Inventors: Podhajcer *et al.*
Our Ref: 1581.0300002/RWE/B-C

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal (PTO/SB/17);
2. Second Supplemental Information Disclosure Statement;
3. Two (2) pages of Form PTO-1449 citing two (2) references;
4. A copy of the two (2) cited references;
5. Form PTO-2038 Credit Card Payment Form for \$180.00 to cover the fee for filing an Information Disclosure Statement; and
6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

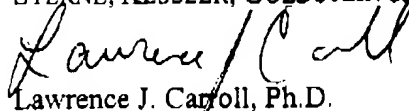
OK
Enter
2/19/03
mms

Commissioner for Patents
February 3, 2003
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Lawrence J. Carroll, Ph.D.
Agent for Applicants
Registration No. 40,940

RWE/B-C:law
Enclosures

\\ODMA\MHODMA\SKGF_DC1\98458.1
SKGF Rev 2/15/02 dcw

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

Complete if Known

Application Number	09/340,593
Filing Date	June 28, 1999
First Named Inventor	Osvaldo Podhajcar
Examiner Name	Mary M. Schmidt
Group Art Unit	1635
Attorney Docket No.	1581.0300002/RWE/B-C

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☒ Other ☐ None

☐ Deposit Account
Deposit Account Number 19-0036
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.C.C.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any over payments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

** Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	620	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1105	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0-

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Fee from below Fee Paid
Total Claims - 20** = X =
Indep. Claims - 3** = X =
Multiple Dependent

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0-

**or number previously paid, if greater; For Reissue, see above

FEE CALCULATION (continued)

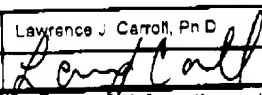
3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1502	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1806	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1808	180	1808	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

SUBMITTER'S		Registration No. (Attorney/Agent)	40,940	Telephone 202-371-2600	
Name (Print/Type)	Lawrence J. Carroll, Ph.D.			Date	February 3, 2003
Signature					

This form may become public. Credit card information should not

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9198 (1-800-786-9198) and select option 2.